

1. Name of Listed Entity: BALAXI PHARMACEUTICALS LIMITED
2. Scrip Code/Name of Scrip/Class of Security: BALAXI
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2021
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shares (III)	No. of fully paid up shares held (IV)	No. of Partly equity shares held (V)	No. of shares underlying Depositor Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of (calculate per 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underly Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % of securities diluted basis (capital) (XI) = $\frac{(VII)+(X)}{(A+B+C)}$ As a % (A+B+C 2)	Number of Locked (XII)		Number of Shares otherwise encumbered (XIII)		Number of equity shares in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B + C)	No (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
A	Promoter & Promoter Group	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44		7286354	
B	Public	4139	2713646	0	0	2713646	27.14	2713646	0	2713646	27.14	0	27.14		0		2662186	
C	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
	Total	4142	10000000	0	0	10000000	100	10000000	0	10000000	100	0	100	5060000	50.6		9948540	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depositor Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	Number of Voting Rights each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share capital (XI) = (VII)+(X) as % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged otherwise encumbered (XIII)		Number of equity shares in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	N o. (a)	As a % of total Shares held (a)	N o. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals/Hindu undivided Family		2	286354	0	286354	2.86	286354	0	286354	2.86	0	2.86	60036	20.97			286354
	MINOSHI MAHESHWARI	ADGP M5813J	1	105580	0	105580	1.06	105580	0	105580	1.06	0	1.06	0	0			105580
	ASHISH MAHESHWARI	ADKP M6911H	1	180774	0	180774	1.81	180774	0	180774	1.81	0	1.81	60036	33.21			180774
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Any Other (specify)		1	7000000	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43			7000000
	Bodies Corporate		1	7000000	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43			7000000
	BALAXI OVERSEAS PRIVATE LIMITED	AAEC A0526G	1	7000000	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43			7000000
	Sub-Total (A)(1)		3	7286354	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Pension Funds																		
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)		4	1947632	0	0	1947632	19.48	1947632	0	1947632	19.48	0	19.48	0	0			1945000
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals -		4038	629649	0	0	629649	6.29	629649	0	629649	6.29	0	6.29	0	0			580821
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		4035	368339	0	0	368339	3.68	368339	0	368339	3.68	0	3.68	0	0			319511
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		3	261310	0	0	261310	2.61	261310	0	261310	2.61	0	2.61	0	0			261310
	AMOL ANAND MANTRI	AKTP M5103 F	1	173465	0	0	173465	1.73	173465	0	173465	1.73	0	1.73	0	0			173465
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		97	136365	0	0	136365	1.36	136365	0	136365	1.36	0	1.36	0	0			136365
	Non-Resident Indian (NRI)		64	19758	0	0	19758	0.2	19758	0	19758	0.2	0	0.2	0	0			19758
	Bodies Corporate		21	40730	0	0	40730	0.41	40730	0	40730	0.41	0	0.41	0	0			40730
	Clearing member		11	1271	0	0	1271	0.01	1271	0	1271	0.01	0	0.01	0	0			1271
	IEPF		1	74606	0	0	74606	0.75	74606	0	74606	0.75	0	0.75	0	0			74606
	Sub-Total (B)(3)		4135	766014	0	0	766014	7.65	766014	0	766014	7.65	0	7.65	0	0			717186
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		4139	2713646	0	0	2713646	27.13	2713646	0	2713646	27.13	0	27.13	0	0			2662186

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depositor Receipts (VI)	Total shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % (A+B+C2) (VIII)	Number of Voting Rights each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share capital (XI)	Number of Locked in shares (XII)		Number of Shares pledged otherwise encumbered (XIII)		Number of equity shares in dematerialized form (Not Applicable) (XIV)			
								No of Voting Rights	Total as a % of Total Voting rights	Total			No.	As a % of total Shares	No. (Not applicable)	As a % of total shares held (Not)				
																		Class X	Class Y	Total
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* Shares					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	MINOSHI MAHESHWARI	ADGPM5813J		INDIAN	30.65	30.65	30.65			
A	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	30.65	30.65	30.65	Yes	Yes	04-May-2017
2	ASHISH MAHESHWARI	ADKPM6911H		INDIAN	30.65	30.65	30.65			
A	BALAXI OVERSEAS	AAECA0526G		INDIAN	30.65	30.65	30.65	Yes	Yes	04-May-2017

PRIVATE LIMITED										
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Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %